## The Try, Test and Learn Fund: Career Readiness for Young Parents Project Guidelines

Opening date: 23 November 2017

Closing date and time: 2.00pm AEDT on 30 November 2017

Commonwealth policy

entity:

**Department of Social Services** 

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Date guidelines released: 23 November 2017

Type of grant opportunity: Restricted non-competitive

## Contents

	erview	4
1.1	About the grant process	4
1.2	Role of the Community Grants Hub	5
1.3	About the grant program	5
1.4	Background to the grant opportunity	6
	1.4.1 Overview	6
	1.4.2 The Australian Priority Investment Approach to Welfare and the Try, Test and Learn Fund	7
	1.4.3 Tranche 1 priority groups	8
	1.4.4 Tranche 1 stage 2 project development and selection	8
	1.4.5 Tranche 1 stage 2 project funding (January 2018 onwards)	10
1.5	Grant opportunity objectives and outcomes	10
2.	Grant amount	13
3.	Grant eligibility criteria	13
3.1	Who is eligible to apply for a grant?	14
	3.1.1 Eligible applicant	14
4.	Eligible grant activities	15
4.1	What can the grant money be used for?	15
4.2	What can the grant money not be used for?	15
5.	The project development and grant selection processes	16
5.1	Project development	16
5.2	Grant selection process	16
6.	The assessment criteria	17
7.	The grant application process	17
7.1	Overview of application process	17
7.2	Application process timing	18
7.3	Completing the grant application	19
7.4	Attachments to the application	19
7.5	Questions during the application process	20

7.6	Further grant opportunities	20
8.	Assessment of grant applications	20
8.1	Who will assess applications?	20
8.2	Who will approve grants?	20
9.	Notification of application outcomes	20
10.	Successful grant applications	21
10.1	The grant agreement	21
10.2	How the grant will be paid	21
11.	Announcement of grants	22
12.	Delivery of grant activities	22
12.1	Your responsibilities	22
12.2	The Department's responsibilities	23
12.3	Grant payments and GST	23
12.4	Reporting	23
12.5	Evaluation	24
12.6	Acknowledgement	24
13.	Probity	24
13.1	Complaints process	24
13.2	? Conflict of interest	25
13.3	Privacy: confidentiality and protection of personal information	26
13.4	Freedom of information	27
13.5	Consultation	28
14.	Glossary	29

## The Try, Test and Learn Fund: Career Readiness for Young Parents Project overview

#### 1.1 About the grant process

## The Try, Test and Learn Fund is designed to achieve Australian Government objectives

This grant opportunity is part of the above grant program which contributes to the Department of Social Services Outcome 1: Social Security. The Department of Social Services works with stakeholders to plan and design the grant program according to the Commonwealth Grants Rules and Guidelines.



#### The grant opportunity opens

We publish the grant guidelines and advertise on the <u>GrantConnect</u> and <u>Community Grants</u> <u>Hub</u> websites.



#### You complete and submit a grant application

You must read these grant guidelines before you submit your application. These guidelines can be found on <u>GrantConnect</u>, the Australian Government's whole-of-government grants information system. Note: Any addenda for this grant opportunity will be published on GrantConnect, and by registering on this website you will be automatically notified of any changes.



#### We assess the grant application

We assess the application against eligibility criteria and notify you if you are not eligible. We then assess your application against the assessment criteria including an overall consideration of value for money.



#### We make grant recommendations

We provide advice to the decision maker on the merits of the application.

4

#### **Grant Decisions are made**

The decision maker decides if the grant application is successful.



#### We notify you of the outcome

We advise you of the outcome of your application.



#### We enter into a grant agreement

We will enter into a grant agreement with the successful applicant. The type of grant agreement is based on the nature of the grant and proportional to the risks involved.



#### **Delivery of grant**

You undertake the grant activity as set out in your grant agreement. We manage the grant by working with you, monitoring your progress and making payments.



#### **Evaluation of the program**

We evaluate the specific grant activity and program as a whole. We base this on information you provide to us and that we collect from various sources.

#### 1.2 Role of the Community Grants Hub

This grant opportunity will be managed by the Community Grants Hub (the Hub) on behalf of the Department of Social Services, under a Whole of Australian Government initiative to streamline grant processes across agencies.

#### 1.3 About the grant program

These guidelines contain information about a restricted non-competitive grant opportunity for the Career Readiness for Young Parents project. The project was one of those developed during Tranche 1 stage 2 of the Try, Test and Learn Fund. Information about this and other Try, Test and Learn Fund grant opportunities will be released on the GrantConnect website.

The Try, Test and Learn Fund was announced in the 2016-17 Federal Budget as an initial response to the Australian Priority Investment Approach to Welfare under Outcome 1.10 Working Age Payments. It will develop and fund new or innovative policy responses aimed at

improving workforce participation or capacity to work for groups at risk of long-term welfare dependence.

Detailed information about the Try, Test and Learn Fund is publicly available in the Try, Test and Learn Fund Tranche 1 Handbook and the Co-development Supplementary Information document. These documents are available at the engage website.

The Try, Test and Learn Fund forms part of Outcome 1.10 Working Age Payments. It will be undertaken according to the *Commonwealth Grants Rules and Guidelines 2017* (CGRGs)

#### This document sets out:

- the background and goals of the Try, Test and Learn Fund
- how the Try, Test and Learn Fund works, including information on the public consultation activities that have defined the project to be funded through this grant opportunity (ideas generation and co-development)
- · the assessment criteria for the grant opportunity
- · how grant applications will be evaluated
- responsibilities and expectations in relation to the opportunity.

You must read this document before completing an application.

#### 1.4 Background to the grant opportunity

#### 1.4.1 Overview

These guidelines relate to the Career Readiness for Young Parents project, which is one of the projects to be funded in the second stage of Tranche 1 of the Try, Test and Learn Fund. Funding for Try, Test and Learn Fund projects will be released in multiple tranches over several years.

Tranche 1 commenced in December 2016 with a public call for ideas. Promising ideas were co-designed with stakeholders and developed into refined project proposals in two batches; stage one and stage two. From these proposals the Minister for Social Services, in consultation with the Prime Minister, Treasurer and the Minister for Finance, selected a number of projects for funding including this project, which is to be funded through the restricted non-competitive opportunity outlined in these Grant Opportunity Guidelines.

The following sections provide more detail on Tranche 1 stage 2 and the consultation activities that defined the project being funded.

# 1.4.2 The Australian Priority Investment Approach to Welfare and the Try, Test and Learn Fund

The Australian Priority Investment Approach to Welfare was a recommendation of the 2015 review of Australia's welfare system, *A New System for Better Employment and Social Outcomes*, led by Patrick McClure. It was established by the Australian Department of Social Services in 2015 and is underpinned by annual actuarial valuations of welfare payment data. The valuations estimate the future lifetime cost of welfare payments to the Australian population and groups within it.

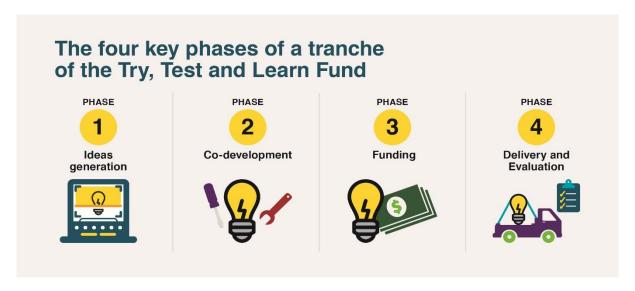
The objectives of the Priority Investment Approach are to improve lifetime wellbeing by:

- increasing the capacity of individuals to participate in social and economic life and to live independently of welfare
- · reducing the risk of welfare dependence
- reducing long-term social security costs in the interests of maintaining a sustainable support system for vulnerable Australians.

The Try, Test and Learn Fund will support groups identified by the government, based on analysis of Priority Investment Approach valuations, as being at high risk of long-term welfare dependence and whose risk could be reduced with new or innovative policy responses.

Policy responses arising from the Fund are developed through an open and collaborative approach. This approach is focused on seeking new ideas from and collaborating with a diverse range of stakeholders including the social sector, business, academia and the general public in order to develop new ways of tackling complex social challenges.

The Try, Test and Learn Fund entails multiple stages of consultation (see diagram below). It seeks new ideas and then co-designs promising ideas with relevant stakeholders including potential service users. After co-design, selected projects are funded, delivered and evaluated.



The approach to ideas generation and co-development ensures that officials can work closely with potential grant recipients and beneficiaries on designing activities that will achieve the outcomes of the Try, Test and Learn Fund in alignment with public needs

Another central feature of the Try, Test and Learn Fund is the generation of new insights and empirical evidence into 'what works' to reduce long-term welfare dependence. Projects will be robustly evaluated to produce high quality policy evidence regarding whether the interventions are effective, for whom and under what circumstances. In this way, the Fund will allow Government to identify what approaches work and use this evidence to transform our funding in existing programs or make the case for new projects.

#### 1.4.3 Tranche 1 priority groups

The priority groups for Tranche 1 of the Try, Test and Learn Fund were informed by the 2015 Priority Investment Approach Baseline Valuation Report and additional analysis of Priority Investment Approach data. These initial priority groups are:

- young people aged under 25 who started receiving Parenting Payment at age 18 or under and who are still receiving an income support payment
- young people aged under 25 who are in receipt of Carer Payment or at immediate risk of going onto the payment
- young people aged under 25 who have moved, or are at risk of moving, from study (post-secondary or tertiary and been in receipt or receiving a student payment) to an extended period on an unemployment payment.

The initial priority groups were chosen by the Minister of Social Services based on advice provided by the Department of Social Services and the Priority Investment Approach Inter-departmental Committee.

These priority groups are among a number identified in the Priority Investment Approach Baseline Valuation Report as groups of interest who have relatively high lifetime costs but for whom there is potential to reduce these likely costs and improve likely lifetime outcomes.

#### 1.4.4 Tranche 1 stage 2 project development and selection

The process for the development and selection of Tranche 1 stage 2 projects entailed:

 Ideas generation – Ideas co-developed as part of Tranche 1 stage 2 were received during the generation phase for Tranche 1 conducted 9 December 2016 to 24 February 2017. As noted in the Try, Test and Learn Fund Tranche 1 Handbook ideas were broadly sought from community groups, government, academics, business, other groups and the general public through an open forum on the Department of Social Services consultation platform, DSS Engage. Information on eligible ideas codeveloped in Tranche 1 stage 2 were published on DSS Engage.

- Ideas shortlisting Ideas were assessed against the following criteria: effectiveness and impact of the idea; a new or innovative approach; and appropriateness for trial and evaluation. More detail on selection criteria used at the ideas generation stage is available in the <a href="Try">Try</a>, <a href="Try">Test and Learn Fund Tranche 1 Handbook</a>. Each submission was assessed and moderated before being scored. DSS undertook additional research on ideas. Following co-development of the initial shortlist, the pool of ideas was reviewed and a second shortlist was identified (stage 2).
- **Co-development** Shortlisted ideas entered a co-development phase, in which stakeholders collaboratively developed and refined the shortlisted ideas from initial concepts into detailed project proposals. This co-development stage was an important step in seeking new and innovative solutions, and focused on fostering:
  - genuine collaboration with a meaningful impact on program design, including a focus on collaboration with representatives of the priority groups
  - more creative and innovative program design
  - new opportunities for collaboration between the Commonwealth, the community sector, business and other stakeholders, and the chance to learn from each other.

Co-development involved a series of workshops led by independent design facilitators. Key co-development stakeholders included proponents of the idea and potential services users (priority group members). Other stakeholders included members of the Try, Test and Learn Fund Expert Advisory Panel,<sup>1</sup> relevant Commonwealth government representatives and peak body representatives.

At the end of the co-development phase, design facilitators prepared proposals for each project with some input from co-development participants. More information on the co-development process is available in the <a href="Co-development Supplementary">Co-development Supplementary</a> Information document.

Project recommendation and selection (August – October 2017) – At the
conclusion of the co-development phase, project proposals were considered to
determine which projects should proceed to funding and delivery. Each project was
considered independently against a range of parameters by the Department of Social
Services in consultation with the Priority Investment Approach Inter-departmental
Committee.<sup>2</sup> Considerations included how likely the project was to achieve intended
outcomes, any major risks or challenges in delivering the project and whether the
project had a viable approach for delivery and evaluation. Following these
considerations, recommendations were put forward to the Minister for Social Services

<sup>&</sup>lt;sup>1</sup> The Expert Advisory Panel is a group of academics and research leaders with expertise across various fields including labour market economics, inequality and disadvantage, intergenerational welfare dependency, social research methods and service delivery and implementation. Panel members are called upon for advice to assist with the implementation of the Investment Approach and the Try, Test and Learn Fund.

<sup>&</sup>lt;sup>2</sup> Members include the Departments of the Prime Minister and Cabinet, Employment, Education and Training, Finance, Health, Human Services, and Social Services; the Treasury; the Australian Bureau of Statistics; and the Australian Government Actuary.

who made final project selections in consultation with the Prime Minister, the Minister for Finance and the Treasurer.

#### 1.4.5 Tranche 1 stage 2 project funding (January 2018 onwards)

These Grant Opportunity Guidelines cover the Career Readiness for Young Parents project for which a restricted non-competitive grant process has been determined to be the appropriate funding approach.

Where a restricted non-competitive grant process has been selected, the original idea proponent is considered to be in a unique position to deliver the project, and has therefore been selected as a sole eligible applicant. In these cases, the idea proponent's idea has been co-developed into a specific project with the Department of Social Services and other stakeholders but retains features which make the idea proponent uniquely qualified as a potential delivery partner. Where idea proponents have not demonstrated specialised expertise or are not in a position to deliver projects, restricted competitive or open competitive grant processes will be pursued.

## 1.5 Grant opportunity objectives and outcomes

The Career Readiness for Young Parents project is targeted at young parents who claimed the Parenting Payment when they were aged 19 or under. These young parents receiving income support payments are at risk of long term welfare dependency, intermittent low hours of employment and persistent poverty.

The project will be accessible to all young parents aged under 25 who started receiving Parenting Payment at age 19 or under and who are still receiving an income support payment. The participants will primarily be identified through the support services they access in the designated locations and government mail outs, referrals from family and friends, marketing through local community centres and 'word of mouth' recruitment conducted by the delivery partner, jobactive and ParentsNext providers.

Project activities will focus on improving young parents' job readiness through:

- High-touch case management model focussing on non-vocational barriers to employment
- Peer support and activities to address non-vocational barriers
- Career advocacy by a personal adviser
- Work immersion or experience, where appropriate
- Skills development

Each participant will be assessed to identify their barriers, where they need to build skills and set goals. Each participant will, have peer support and networking opportunities to develop 'soft skills' and work skills. The project will, where required, provide access to childcare and transport to project activities. Participants, where appropriate to circumstance, receive a 'warm referral' to other services that they need such as jobactive and ParentsNext.

The project will assist 60 young parents in Rockingham and Mandurah in Western Australia, 30 parents per site. The project will run over 28 months.

The project will be evaluated against job readiness, career engagement, employment and wellbeing. The trajectories of participants and the outcomes they achieve will be compared to the trajectory of a 'control' cohort – a sample of similar Parenting Payment recipients in other areas with similar economic conditions.

Detailed evaluation plans, including refined outcomes and the metrics to be used to measure particular outcomes, will be developed as part of the negotiation of the grant agreement and activity work plan with the successful applicant. DSS also intends to engage an evaluation expert to support evaluation across the Try, Test and Learn Fund projects.

In the interim, our expectation is that **career engagement** would be measured through:

- Qualitative data with participants about their interest and enthusiasm for career development, the extent and level of detail of their career planning. This data will be collected through interviews with participants conducted by the project staff. A baseline interview will be conducted at the point of enrolment with follow up interviews over time to measure changes. Information will also be gathered on other changes to circumstances that may influence participants' career development and engagement.
- Qualitative data kept by project staff on their observations of the above. This
  data will be collected as part of the project staff's regular documentation for
  the project. A baseline impression will be recorded by staff at the point of
  enrolment of each participant, and then updated regularly over time as part of
  the project reporting and project recordkeeping processes.
- Quantitative data on the number of enrolments in training by participants, the type and duration of these courses, and the number of course completions. This is a strong quantitative measure of career engagement (because participants would actively take steps towards professional development) but we note that it will not be appropriate for all participants due to their circumstances and particularly their parenting responsibilities. This data will be collected through project staff discussions and interviews with participants and through Commonwealth social security administrative data. It may be possible for the provider to establish relationships with local training institutions and (with the consent of participants) make use of their data on enrolments and the like.
- Quantitative data on the number of participants who report that they are seeking employment and / or request assistance from the CRYP provider or referrals to jobactive to seek employment. This metric has the same caveat about appropriateness to circumstances as the training metric. This data will be collected through project staff discussions and interviews with participants and through Commonwealth social security and employment administrative data.

Our expectation is that **employment** would be measured through the following metrics (noting the caveat that not all participants will be able to seek employment in their circumstances):

- Quantitative data on the number of participants who report that they are seeking employment and / or request assistance from the CRYP provider or referrals to jobactive to seek employment. This metric is also used for the career engagement outcome. Data will be collected as described above.
- Quantitative data on the number of participants entering employment during the course of the trial. This data will be collected through project staff discussions and interviews with participants and through Commonwealth social security and employment administrative data. It may be possible for the provider to establish relationships with local employers and (with the consent of participants) seek information from them about employment numbers.
- Quantitative data on the type and duration of employment for participants over the course of the trial. This data will be collected through project staff discussions and interviews with participants and through Commonwealth social security and employment administrative data. It may be possible for the provider to establish relationships with local employers and (with the consent of participants) seek information from them about the type and duration of employment of participants.
- Quantitative data on the hours worked per week, and weeks worked per year, for participants over the course of the trial. This data will be collected per the metric above. This may be compared to natural control groups in (de-identified) social security or employment administrative data.

Note that employment will not be the outcome for all (nor even most) participants due to their parenting responsibilities; **job readiness** will be a more feasible outcome for these participants. We anticipate that job readiness would be measured through:

- Qualitative data with participants about their barriers to employment (particularly non-vocational barriers), how difficult it would be for them to secure employment, whether they are interested in training or employment, and how ready they feel for a future return to training or employment. This data will be collected through interviews with participants conducted by the project staff. A baseline interview will be conducted at the point of enrolment with follow up interviews over time to measure changes. Information will also be gathered on other changes to circumstances (separate to the project) that may influence participants' job readiness.
- Qualitative data kept by project staff on their observations of the above. This
  data will be collected as part of the project staff's regular documentation for
  the project. A baseline impression will be recorded by staff at the point of

- enrolment of each participant, and then updated regularly over time as part of the project reporting and project recordkeeping processes.
- Qualitative data from jobactive and ParentsNext providers (with participants' consent) on their observations of the above.
- Quantitative data from employment and social security administrative data on participants' interactions with jobactive and ParentsNext, as an indicator of preparedness to address vocational and non-vocational barriers to employment.

Finally, our expectation is that participants' **wellbeing** would be measured by the following metrics:

- Qualitative data with participants about their mental, social and physical wellbeing. This data will be collected through interviews with participants conducted by the project staff. A baseline interview will be conducted at the point of enrolment with follow up interviews over time to measure changes. Information will also be gathered on other changes to circumstances that may influence participants' wellbeing (separate to the project).
- Qualitative data kept by project staff on their observations of the above. This
  data will be collected as part of the project staff's regular documentation for
  the project. A baseline impression will be recorded by staff at the point of
  enrolment of each participant, and then updated regularly over time as part of
  the project reporting and project recordkeeping processes.
- Quantitative data on rate of attendance at project activities. This will be used as a measure of engagement and social wellbeing in particular, as social anxiety was identified as a key challenge in the co-development of the project/ This data will be collected as part of the project staff's regular documentation for the project.

#### 2. Grant amount

The Australian Government has announced a total of \$79.6 million to projects supported by the Fund over four years for the Try, Test and Learn Fund. Individual grant amounts will be guided by project design and budgeting conducted during the co-development process and captured in project proposals.

The approximate budget for the Career Readiness for Young Parents project is \$1 million. The project will be trialled over 28 months in Rockingham and Mandurah in Western Australia.

## 3. Grant eligibility criteria

We cannot consider your application if it does not satisfy all the eligibility criteria.

#### 3.1 Who is eligible to apply for a grant?

To be eligible you must be the listed organisation and have received an invitation to apply through GrantConnect.

#### 3.1.1 Eligible applicant

To be eligible you must be the listed organisation:

Name of Organisation	Rationale for Invitation
Bridging the Gap	See rationale below

The Career Readiness for Young Parents project was co-developed from an idea submitted to the Try, Test and Learn Fund by the Bridging the Gap.

The co-development partners included Bridging the Gap, Australasian Housing Institute, Ruah Community Services the WA office of the Commonwealth Department of Social Services, the Commonwealth Department of Employment and young parents.

Bridging the Gap is uniquely placed to deliver the project. It has a long history of working with the community in the area and has offices in Kwinana and Mandurah. It delivers a range of relevant Commonwealth and state government programs in partnership with local community based organisations in the area including:

- Supporting families with young children
- Community based working and training including Work for the Dole
- Coaching Young People for Success program to empower young people
- Employability Skills Training as part of the Commonwealth's Department of Employment Youth PaTH initiative to help young people gain skills for employment
- Certificate II training in Conservation and Land Management and in Business
- · Career information and guidance
- Non-accredited training in performance management, mental health first aid, professional communication skills and building effective teams.

Finally, the Career Readiness for Young Parents project will operate as a project with constrained financial and time parameters. The most effective method of delivering a project of this nature is to utilise the skills and knowledge of existing providers. This approach will provide the best value for money for conducting the initial, limited trial stage of the project and to collect policy-making evidence under the auspices of the evaluation-driven policy intent of the Try, Test and Learn Fund. There is no guarantee of continued funding for the successful applicant once the trial is completed. If any decision was to be made in the future

to consider expanding a similar project concept for a broader rollout, broader-based and competitive funding processes would be considered.

## 4. Eligible grant activities

#### 4.1 What can the grant money be used for?

The grant can be used for the following grant activities:

- staff salaries and on-costs which can be directly attributed to the provision of the grant activity in the identified service area or areas as per the grant agreement
- employee training for paid and unpaid staff including Committee and Board members that is relevant, appropriate and in line with the grant activity
- operating and administration expenses directly related to the project as per the grant agreement, such as:
  - o telephones
  - o rent and outgoings
  - computer/ IT/website/software
  - o insurance
  - o utilities
  - postage
  - stationery and printing
  - o accounting and auditing
  - travel/accommodation costs
  - assets as defined in the Terms and Conditions of the grant agreement that can be reasonably attributed to meeting agreement deliverables.
- payments to sub-contractors to perform eligible grant activities.
- Part of the grant can be used for evaluation of the funded project and/or to explore options for future sustainability and ongoing viability of the funded project.

## 4.2 What can the grant money not be used for?

The grant <u>cannot</u> be used for the following grant activities:

- purchase of land
- major capital expenditure<sup>3</sup>

<sup>&</sup>lt;sup>3</sup> See glossary.

- subsidy of general ongoing administration costs such as rent, electricity and phone not directly related to the project being delivered as part of the grant agreement
- costs to attend and travel to conferences.
- overseas travel
- the covering of retrospective costs.

Please note the <u>grant cannot be used</u> for the costs incurred in the preparation of your grant application or related documentation.

# 5. The project development and grant selection processes

## 5.1 Project development

The Career Readiness for Young Parents project underwent the Tranche 1 project development process outlined in section 1.4. In brief, this project entailed public ideas generation; shortlisting; intensive co-development with a variety of stakeholders including service providers and priority group representatives; and project recommendation and selection. Co-development involved a design-led approach, which focused on the needs of service users. Stakeholders including service providers, government agencies and young parents contributed to the development of the project.

The project expands upon an original idea submitted by Bridging the Gap. The project was co-developed from this idea by a group of stakeholders, including the idea proponents and other selected participants, including end users. As such, they have detailed knowledge and understanding of the project, its potential participants and its objectives and therefore how best to implement it.

#### 5.2 Grant selection process

If an eligible application is received, it will be considered through a restricted non-competitive grant process.

We will assess your application against the criteria set out below. Your application will be considered on its merits, based on:

- · how well it meets the criteria
- whether it provides value for money.

When undertaking a selection process we will consider the proportionality of scale, nature, funding amount, complexity and risks involved in the funding round. We will consider

proportionality to inform the choice of the application and selection process, the type of grant agreement to be used and the reporting and acquittal requirements.

#### 6. The assessment criteria

You will need to address the following assessment criteria in your application. Other criteria, such as innovation, the likely effectiveness of the project and the feasibility of the trial and evaluation of the project have been considered in the idea and project selection stages and do not need to be addressed as part of this grant process.

We will judge your application based on each criterion. The amount of detail and supporting evidence you provide in your application should be relative to the project size, complexity and grant amount requested. The application form includes word limits.

The equally weighted assessment criteria are:

1. Demonstrate your organisation's experience, capability and capacity to manage and deliver services for the young parents target group in the trial locations.

Your response should:

- demonstrate your organisation's ability to effectively develop, implement, manage and monitor grant activities to achieve positive outcomes for the young parents target group in the trial location
- demonstrate your organisation's ability to establish effective links with relevant partners and stakeholders to achieve outcomes for the young parents target group.
- 2. Demonstrate your organisation's experience, capability and capacity to implement and deliver the project and achieve its objectives.

Your response should:

- outline the number of key staff that will manage and / or deliver your organisation's project and outline their relevant capabilities (experience, skills and qualifications)
- demonstrate your organisation's capacity and approach to support data collection and monitoring activities for project evaluation.

## 7. The grant application process

#### 7.1 Overview of application process

You must read these grant guidelines, the application form, and the grant agreement terms and conditions before you submit an application.

You are responsible for ensuring that your application is complete and accurate. Giving false or misleading information will exclude your application from further consideration.

You must address all of the assessment criteria to be considered for a grant. Please complete each section of the application form and make sure that you provide the information we have requested.

Please keep a copy of your application and any supporting papers.

#### 7.2 Application process timing

Submit your application by the closing time and date.

If an application is late or the Community Grants Hub is requested to approve a lodgement after the closing date, the Community Grants Hub may determine that there were exceptional circumstances beyond the applicant's control that meant they could not meet the deadline. Examples of exceptional circumstances could include, but may not be limited to:

- · Community Grants Hub infrastructure failures,
- natural disasters,
- power outages affecting the ability of the applicant to submit their application by the deadline, and
- death or disability of key personnel.

Information on the Community Grants Hub <u>late application policy</u> is available on the <u>Community Grants Hub</u> website.

The expected start date for the granting activities will be on execution of the Grant Agreement. The expected end date will be subject to individual grant agreement. You must spend the grant by the end date.

Table 1: Expected timing for this grant opportunity

Activity	Timeframe
Application period	1 week
Assessment of application	Timing will be dependent on the complexity of the application received and the capacity of the Community Grants Hub
Approval of outcomes of selection process	Timing will be dependent on the complexity of the application received and the capacity of the Community Grants Hub
Negotiations and award of grant agreement	By the end of January 2018

Activity	Timeframe
Notification to unsuccessful applicants	By the end of January 2018
Activity commences	On execution of the Grant Agreement
End Date	Subject to individual grant agreement

#### 7.3 Completing the grant application

You must submit your grant application using the application form, which is available on the <u>GrantConnect</u> and <u>Community Grants Hub</u> websites. The Application Form can only be accessed by the invitee for this grant opportunity. The application form includes help information.

This is an online application form that you must submit electronically. If you have any technical difficulties please contact 1800 020 283 or email <a href="mailto:support@communitygrants.gov.au">support@communitygrants.gov.au</a>.

The Community Grants Hub will not provide an application form or accept an application for this grant opportunity by fax or mail.

You must make sure that your application is complete and accurate and submitted in accordance with these Guidelines.

If you find a mistake in your application after it has been submitted, you should contact us straight away. The Community Grants Hub may ask you for more information, as long as it does not change the substance of your application. The Community Grants Hub does not have to accept any additional information, nor requests from applicants to correct applications after the closing time.

#### 7.4 Attachments to the application

The following document must be included with your application:

- project plan completed on the provided template
- Most recent audited financial statements for two financial years
- intellectual property proposed special conditions on the provided template.

Your supporting documentation should be attached to the application form. There will be instructions in the application form to help you. Only attach the documents you have been asked to include.

Please note: There is a 2MB file size limit for each attachment.

#### 7.5 Questions during the application process

Only the invited applicant's questions will be responded to during the application period. To submit a question please call the Community Grants Hub on 1800 020 283 or email <a href="mailto:support@communitygrants.gov.au">support@communitygrants.gov.au</a>.

#### 7.6 Further grant opportunities

The Try, Test and Learn Fund will run over four years from 2016-17 to 2019-20. It is anticipated that ideas will be sought, and funding released, over multiple tranches.

## 8. Assessment of grant applications

## 8.1 Who will assess applications?

An assessment team will assess the eligible and compliant application based on its merits. The assessment team will be comprised of departmental staff.

If the selection process identifies unintentional errors in your application, you may be contacted to correct or explain the information

## 8.2 Who will approve grants?

The policy delegate who is the Branch Manager, Policy Strategy and Investment Branch will make the final decision to approve a grant.

The delegate's decision is final in all matters, including:

- the approval of the grant
- the grant funding amount to be awarded
- the terms and conditions of the grant.

The policy delegate must not approve funding if they reasonably consider the program funding available across financial years will not accommodate the funding offer, and/or that the application does not represent value for money.

## 9. Notification of application outcomes

You will be advised of the outcomes of your application in writing. If you are successful, you will also be advised about any specific conditions attached to the grant.

If you are unsuccessful, we will notify you in writing.

## 10. Successful grant applications

#### 10.1 The grant agreement

If you are successful, you must enter into a legally binding grant agreement with the Commonwealth represented by the Department of Social Services. A schedule may be used to outline the specific grant requirements. Any additional conditions attached to the grant will be identified in the grant offer or during the grant agreement negotiations.

You will be required to:

• deliver the project and meet the performance reporting and financial acquittal requirements outlined in your grant agreement.

The Department of Social Services will negotiate the grant agreement with the successful applicant.

Where a grantee fails to meet the obligations of the grant agreement, the Department of Social Services may seek to terminate the relevant agreement.

You should not make financial commitments until a grant agreement has been executed by the Commonwealth.

#### 10.2 How the grant will be paid

The grant agreement will state the:

maximum grant amount to be paid.

We will not exceed the maximum grant amount under any circumstances. If you incur extra eligible expenditure, you must pay it yourself.

Grant funding will be paid:

 according to agreed milestones and performance indicators defined in the Grant Agreement and Activity Work Plan.

We recognise that unexpected events may affect the progress of a grant activity. In these circumstances, you can request an activity variation, including:

- changing an activity's milestones
- extending the timeframe for completing an activity but within the time period of the Try, Test and Learn Fund program.

The program does not allow for:

an increase to the agreed amount of grant funds.

If you want to propose changes to the grant agreement, you must put them in writing before the grant agreement end date. Contact your Grant Agreement Manager for further information. We will not consider changes after the grant agreement end date.

You should not assume that a variation request will be successful. We will consider your request based on factors such as:

- how it affects the project outcome
- consistency with the program policy objective and any relevant policies of the Department of Social Services
- changes to the timing of grant payments
- availability of program funds.

## 11. Announcement of grants

If successful, your grant will be listed on the GrantConnect 21 calendar days after the date of effect as required by Section 5.3 of the *CGRGs*.

## 12. Delivery of grant activities

## 12.1 Your responsibilities

If successful, you must carry out the grant activities in accordance with these guidelines and the grant agreement and activity work plan, which includes standard terms and conditions and any supplementary conditions. The grant agreement will outline specific grant requirements.

You must submit reports in line with the timeframes in the grant agreement. We will provide sample templates for these reports in the grant agreement. We will expect you to report on

- progress against agreed project milestones
- contributions of participants directly related to the project
- · eligible expenditure of grant funds.

You will also be responsible for:

- ensuring that your application is accurate and complete
- ensuring that the terms and conditions of the grant agreement are met and that the activity is managed in an efficient and effective manner
- meeting milestones and other timeframes specified in the grant agreement

- complying with record keeping, reporting and acquittal requirements in accordance with the grant agreement
- participating in grant program evaluation as necessary for the period specified in the grant agreement
- ensuring that the grant activity outputs and outcomes are in accordance with the grant agreement.

#### 12.2 The Department's responsibilities

The Department of Social Services will:

- · meet the terms and conditions set out in the grant agreement
- · provide timely administration of the grant
- evaluate the grantee's performance.

We will monitor the progress of your project by assessing reports you submit and other agreed performance data and may conduct site visits to confirm details of your reports if necessary. Occasionally we may need to re-examine claims, seek further information or request an independent audit of claims and payments.

#### 12.3 Grant payments and GST

Payments will be made as set out in the grant agreement.

Before any payments are made, you must provide:

- a tax invoice for the amount of the payment (the Australian Government's default invoice process is Recipient Created Tax Invoices)
- evidence that you have achieved the associated milestone
- any other conditions of payment (e.g. evidence of purchase of equipment, satisfactory progress report, approvals, and any other documentation).

If you receive a grant, you should consider speaking to a tax advisor about the effect of receiving a grant before you enter into a grant agreement. You can also visit the Australian Taxation Office website at the <u>Australian Taxation Office</u> for more information.

## 12.4 Reporting

The Career Readiness for Young Parents grant recipient must have systems in place to allow them to meet their data collection and reporting obligations outlined in their grant agreement.

Performance information (e.g. client characteristics and service delivery information) will be required to be collected by the service provider at the client level and entered directly into the department's reporting solution, the Data Exchange.

Information must be provided in accordance with the Data Exchange Protocols available on the DSS website.

#### 12.5 Evaluation

The Department of Social Services will evaluate the Try, Test and Learn Fund and each project funded by it to measure how well the outcomes and objectives have been achieved. Your grant agreement requires you to provide information to help with this evaluation.

#### 12.6 Acknowledgement

All materials related to grants under the program must acknowledge the Commonwealth as follows:

'Try, Test and Learn Fund - an Australian Government initiative.'

## 13. Probity

The Australian Government will make sure that the program process is fair; according to the published guidelines; incorporates appropriate safeguards against fraud, unlawful activities and other inappropriate conduct; and is consistent with the CGRGs.

**Note:** These guidelines may be changed from time-to-time by Department of Social Services. When this happens the revised guidelines will be published on <u>GrantConnect</u>.

#### 13.1 Complaints process

#### **Complaints about the Program**

The Department of Social Services <u>Complaints Procedures</u> apply to complaints about the Program. All complaints about a grant process must be lodged in writing.

Any questions you have about grant decisions for the Program should be sent to support@communitygrants.gov.au.

#### **Complaints about the Process**

Applicants can contact the complaints service with complaints about Community Grants Hub's service(s) or the application process.

Details of what constitutes an eligible complaint can be provided upon request by the Community Grants Hub. Applicants can lodge complaints using the <u>complaints form</u> on the Department's website or by phone or mail.

Phone: 1800 634 035

Mail: Complaints

**GPO Box 9820** 

Canberra ACT 2601

#### **Complaints to the Ombudsman**

If you do not agree with the way the Department of Social Services has handled your complaint, you may complain to the Commonwealth Ombudsman. The Ombudsman will not usually look into a complaint unless the matter has first been raised directly with the Department of Social Services.

The Commonwealth Ombudsman can be contacted on:

Phone (Toll free): 1300 362 072

Email: <a href="mailto:ombudsman@ombudsman.gov.au">ombudsman@ombudsman.gov.au</a> Website: <a href="mailto:Commonwealth-Ombudsman">Commonwealth-Ombudsman@ombudsman.gov.au</a>

#### 13.2 Conflict of interest

The Department of Social Services <u>Complaints Procedures</u> apply to complaints about the Program. All complaints about a grant process must be lodged in writing.

#### **Complaints about the Process**

Applicants can contact the complaints service with complaints about Community Grants Hub's service(s) or the application process.

Details of what constitutes an eligible complaint can be provided upon request by the Community Grants Hub. Applicants can lodge complaints using the <u>complaints form</u> on the Department's website or by phone or mail.

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The Commonwealth Ombudsman can be contacted on:

Phone (Toll free): 1300 362 072

Email: ombudsman@ombudsman.gov.au

Website: www.ombudsman.gov.au.

# 13.3 Privacy: confidentiality and protection of personal information

We treat your personal information according to the 13 Australian Privacy Principles and the *Privacy Act 1988*. This includes letting you know:

- what personal information we collect
- why we collect your personal information
- who we give your personal information to.

You are required, as part of your application, to declare your ability to comply with the *Privacy Act 1988*, including the Australian Privacy Principles, and to impose the same privacy obligations on any subcontractors you engage to assist with the grant activity. You must ask for the Australian Government's consent in writing before disclosing confidential information.

Your personal information can only be disclosed to someone else if you are given reasonable notice of the disclosure; where disclosure is authorised or required by law or is reasonably necessary for the enforcement of the criminal law; if it will prevent or lessen a serious and imminent threat to a person's life or health; or if you have consented to the disclosure.

The Australian Government may also use and disclose information about grant applicants and grant recipients under the Program in any other Australian Government business or function. This includes giving information to the Australian Taxation Office for compliance purposes.

We may reveal confidential information to:

- the committee and other Commonwealth employees and contractors to help us manage the program effectively
- employees and contractors of our department so we can research, assess, monitor and analyse our programs and activities
- employees and contractors of other Commonwealth agencies for any purposes, including government administration, research or service delivery
- other Commonwealth, State, Territory or local government agencies in program reports and consultations
- the Auditor-General, Ombudsman or Privacy Commissioner
- the responsible Minister or Parliamentary Secretary
- a House or a Committee of the Australian Parliament.

We may share the information you give us with other Commonwealth agencies for any purposes including government administration, research or service delivery and according to Australian laws, including the:

- Public Service Act 1999
- Public Service Regulations 1999
- Public Governance, Performance and Accountability Act
- Privacy Act 1988
- Crimes Act 1914
- Criminal Code Act 1995.

We'll treat the information you give us as sensitive and therefore confidential if it meets all of the four conditions below:

- you clearly identify the information as confidential and explain why we should treat it as confidential
- 2. the information is commercially sensitive
- 3. revealing the information would cause unreasonable harm to you or someone else
- 4. you provide the information with an understanding that it will stay confidential.

The grant agreement will include any specific requirements about special categories of information collected, created or held under the grant agreement.

#### 13.4 Freedom of information

All documents in the possession of the Australian Government, including those about the Program, are subject to the *Freedom of Information Act 1982 (FOI Act)*.

The purpose of the FOI Act is to give members of the public rights of access to information held by the Australian Government and its entities. Under the FOI Act, members of the public can seek access to documents held by the Australian Government. This right of access is limited only by the exceptions and exemptions necessary to protect essential public interests and private and business affairs of persons in respect of whom the information relates.

All Freedom of Information requests must be referred to the Freedom of Information Coordinator in writing.

By mail: Freedom of Information Coordinator

The Department of Social Services

Government and Executive Services Branch

**GPO Box 9820** 

Canberra ACT 2601

By email: foi@dss.gov.au

## 13.5 Consultation

For information about the co-development process used to refine and develop shortlisted ideas, please see the 'Important Information' section of the <a href="https://example.com/Try, Test and Learn Fund DSS">Try, Test and Learn Fund DSS</a> <a href="https://example.com/Engage website">Engage website</a>.

# 14. Glossary

Term	Definition
Assessment criteria	The specified principles or standards against which applications will be judged. These criteria are also used to assess the merits of proposals and, in the case of a competitive granting activity, to determine applicant rankings.
Capital expenditure	Capital expenditure is spending for capital items as defined in the Department's Capital Works Policy. A capital item is any item of real estate or infrastructure valued at \$10,000 or more (including GST). Capital items include, but are not limited to:  • land  • new and existing buildings, including demountable buildings that are a fixture to the land  • building renovations  • roads, pipelines and airstrips.
Co-development	The co-development phase involves consultation with stakeholders to collaboratively develop and refine shortlisted ideas from initial concepts into detailed project proposals.
Commencement date	The expected start date for the grant activity.
Commonwealth entity	A Department of State, or a Parliamentary Department, or a listed entity or a body corporate established by a law of the Commonwealth. See subsections 10(1) and (2) of the <i>PGPA Act</i> .
Completion date	The expected date that the grant activity must be completed and the grant spent by.
Cost shifting	Involves 'substitution of effort' by the Commonwealth for activities of another organisation or level of government. For example, cost shifting occurs where the Commonwealth provides a grant for an activity that would usually be paid for by a state, territory, or local government, such as municipal services.
Date of effect	This will depend on the particular grant. It can be the date in which a grant agreement is signed or a specified starting date. Where there is no grant agreement, entities must publish information on individual grants as soon as practicable

Term	Definition
Decision maker	The person who makes a decision to award a grant.
Double dipping	Double dipping occurs where a grant recipient is able to obtain a grant for the same project or activity from more than one source.
Eligibility criteria	The principles, standards or rules that a grant applicant must meet to qualify for consideration of a grant. Eligibility criteria may apply in addition to assessment criteria.
Grant	A grant is an arrangement for the provision of financial assistance by the Commonwealth or on behalf of the Commonwealth:  a) under which relevant money or other CRF money, is to be paid to a grantee other than the Commonwealth  b) which is intended to help address one or more of the Australian Government's policy outcomes while assisting the grantee achieve its objectives.
Grant activity	The project / tasks / services that the grantee is required to undertake with the grant money. It is described in the Grant Agreement.
Grant agreement	Sets out the relationship between the parties to the agreement, and specifies the details of the grant.
Grant Agreement Manager	Monitors performance against agreed objectives within grant agreements and ensure accountability requirements are met.
Grant opportunity	A notice published on GrantConnect advertising the availability of Commonwealth grants.
Grant program	May be advertised within the 'Forecast Opportunity' (FO) section of GrantConnect to provide a consolidated view of associated grant opportunities and provide strategic context for specific grant opportunities.
Grantee	An individual / organisation that has been awarded a grant.
Selection criteria	Comprise eligibility criteria and assessment criteria.

Term	Definition
Selection process	The method used to select potential grantees. This process may involve comparative assessment of applications or the assessment of applications against the eligibility criteria and / or the assessment criteria.